

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO**

Civil Action No. 90-cv-00181-JLK

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MERILYN COOK, *et al.*,

Plaintiffs,

v.

ROCKWELL INTERNATIONAL CORPORATION  
and THE DOW CHEMICAL COMPANY,

Defendants.

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**ORDER GRANTING PRELIMINARY APPROVAL OF PROPOSED CLASS ACTION  
SETTLEMENT, PRELIMINARY APPROVAL OF PROPOSED PLAN OF  
ALLOCATION, APPROVAL OF PROPOSED FORMS AND MANNER OF NOTICE  
TO THE CLASS, APPROVAL OF PROPOSED CLAIM FORM, AND APPROVAL OF  
PROPOSED SCHEDULE**

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Upon review and consideration of Plaintiffs' Motion for Preliminary Approval of Proposed Class Action Settlement, Preliminary Approval of Proposed Plan of Allocation, Approval of Proposed Forms and Manner of Notice to the Class, Approval of Proposed Claim Form, Appointment of Escrow Agent, Appointment of Settlement Administrator, and Approval of Proposed Schedule, the memorandum in support, and related materials, IT IS HEREBY ORDERED, ADJUDGED AND DECREED as follows:

**Jurisdiction**

1. This Order hereby incorporates by reference the definitions in the Settlement Agreement among the Class Representatives, the Settlement Class, and Defendants, and all

capitalized terms used and not otherwise defined herein shall have the meanings set forth in the Settlement Agreement.

2. This Court has jurisdiction over each of the class representatives, Marilyn Cook, Richard and Sally Bartlett, and William and Delores Schierkolk<sup>1</sup> (collectively “Plaintiffs” or “Class Representatives”) and Defendants Rockwell International Corporation (“Rockwell”) and The Dow Chemical Company (“Dow”) (collectively, “Defendants”) and jurisdiction over the litigation to which Class Representatives and Defendants are parties

### **Preliminary Approval of the Proposed Settlement**

3. The ultimate approval of a class action settlement requires a finding that the settlement is fair, adequate, and reasonable. *See, e.g., Rhodes v. Olson Assocs., P.C.*, 308 F.R.D. 664, 666 (D. Colo. 2015); *Nakkhumpun v. Taylor*, No. 12-CV-01038-CMA-CBS, 2015 WL 6689399, at \*3 (D. Colo. Nov. 3, 2015); *Lucas v. Kmart Corp.*, 234 F.R.D. 688, 693 (D. Colo. 2006); *Newberg on Class Actions* at § 13:10 (5th ed. 2015). In evaluating a proposed settlement for preliminary approval, the Court must determine “(1) whether the proposed settlement was fairly and honestly negotiated; (2) whether serious questions of law and fact exist, placing the ultimate outcome of the litigation in doubt; (3) whether the value of an immediate recovery outweighs the mere possibility of future relief after protracted and expensive litigation; and (4) the judgment of the parties that the settlement is fair and reasonable.” *Jones v. Nuclear Pharmacy, Inc.*, 741 F.2d 322, 324 (10th Cir. 1984). The proposed settlement satisfies this standard.

4. The Court finds that the proposed settlement, which includes cash payments totaling \$375 million by Defendants into an escrow account for the benefit of the Class (the

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<sup>1</sup> Delores Schierkolk is deceased, but William Schierkolk is her heir and representative.

“Settlement Fund”) in exchange for, *inter alia*, dismissal of the litigation between Class Representatives and Defendants with prejudice and releases of certain claims against Defendants by Class Representatives and the Class, as set forth in the Settlement Agreement, was arrived at by arm’s-length negotiations by highly experienced counsel after many years of litigation, falls within the range of possibly approvable settlements, and is hereby preliminarily approved, subject to further consideration at the Fairness Hearing provided for below.

**Preliminary Approval of the Proposed Plan of Allocation**

5. The proposed Plan of Allocation, attached as Exhibit 2 to Plaintiffs’ Memorandum in Support of Motion for Preliminary Approval of Proposed Class Action Settlement, Preliminary Approval of Proposed Plan of Allocation, Approval of Proposed Forms and Manner of Notice to the Class, Approval of Proposed Claim Form, Appointment of Escrow Agent, Appointment of Settlement Administrator, and Approval of Proposed Schedule (“Plaintiffs’ Memorandum”), calls for distribution to Class members of the Settlement Fund, less (i) service awards to the Class Representatives approved by the Court; (ii) fees, expenses, and costs awarded from the Settlement Fund to counsel for the Class Representatives and the Class approved by the Court; (iii) compensation and expenses paid or reimbursed to the Settlement and Claims Administrator approved by the Court; and (iv) any additional administrative expenses that may be charged against the Settlement Fund as approved by the Court (the “Net Settlement Fund”).

6. The proposed Plan of Allocation calls for distribution of the Net Settlement Fund in the following manner: 3.196% of the Net Settlement Fund will be allocable to commercial properties located in the Property Class Area; 81.537% of the Net Settlement Fund will be

allocable to residential properties located in the Property Class Area; and 15.267% of the Net Settlement Fund will be allocable to vacant land located in the Property Class Area.

7. Based on Jefferson County tax assessment records from April 1989 and such other sources as the Settlement and Claims Administrator may reasonably determine to be suitable and reliable, the Settlement and Claims Administrator shall determine, for each Class property, the property's assessed value, expressed as a fraction of the total assessed value of all Class properties within the same category (the property's "Fractional Allocable Share").

8. The proposed Plan of Allocation shall compute an award for each member of the Class, based on the Class member's property's Fractional Allocable Share of the Net Settlement Fund apportioned to that category. For example, for a residential property, the proposed Plan of Allocation will present an award based on the property's Fractional Allocable Share multiplied by the Net Residential Property Settlement Fund.

9. It is anticipated that after the first distribution of settlement proceeds there will be unclaimed or residual funds and that a second distribution will be needed. Plaintiffs' Class Counsel anticipate that, subject to Court approval, in any such second or subsequent distribution the properties closest to the plant will be given an extra weighting and so will receive relatively more in such subsequent distributions.

10. Subject to further order of the Court, any funds allocable to the Class that remain unclaimed, after due allowance of a period for late claims, shall be distributed to members of the Class on a pro rata basis, subject to paragraph 9, above.

11. Insofar as the Net Settlement Fund includes residual funds after distribution(s) as set forth in the preceding paragraphs that cannot be economically distributed to the Class (because of the costs of distribution as compared to the amount remaining), Class Counsel shall

make an application to the Court, with notice to Defendants, for such sums to be used to make *cy pres* payments for the benefit of members of the Class. What this means is that, subject to Court approval, the money may be used, for example, to make a donation to a group or groups whose interests are aligned with Class members or otherwise as directed by the Court.

12. The proposed Plan of Allocation attached as Exhibit 2 to Plaintiffs' Memorandum satisfies the requirements of Fed. R. Civ. P. 23(e) and due process, is fair and reasonable, and is therefore preliminarily approved, subject to further consideration at the Fairness Hearing provided for below.

**Approval of the Forms of Notice and Plan of Notice to the Class**

13. The proposed forms of Notice and Plan of Notice satisfy the requirements of Rule 23(e) and due process, are otherwise fair and reasonable, and therefore are approved.

14. Class Counsel shall cause the steps set forth in the proposed Notice Plan to be taken by the Settlement and Notice Administrator.

15. Class Counsel shall cause Long Form Notice substantially in the form attached as amended Exhibit 3 to Plaintiffs' Memorandum to be sent by September 14, 2016 via first-class mail to potential Class members whose current addresses are reasonably ascertainable.

16. Class Counsel shall cause Short Form Notice substantially in the form attached as Exhibit 4 to Plaintiffs' Memorandum to be published substantially in the manner proposed by Heffler Claims Group no later than November 28, 2016. *See* Proposed Plan of Notice, attached as Exhibit 5 to Plaintiffs' Memorandum. Class Counsel shall also cause notice to be given to the Class in the manner set forth in the Notice Plan no later than November 28, 2016.

17. Members of the Class may request exclusion from the Class or object to the Settlement no later than March 1, 2017 (at least 90 days following completion of the Notice

Plan). Class Counsel or their designee shall monitor and record any and all opt-out requests that are received, and will file a report on the opt-out requests with the Court.

**Approval of Proposed Claim Form**

18. The Court approves the proposed Claim Form attached as Exhibit 6 to Plaintiffs' Memorandum. The Settlement and Claims Administrator shall send a Claim Form substantially in the form attached as Exhibit 6 to Plaintiffs' Memorandum in Support to all reasonably identifiable members of the Class along with the Long Form Notice, shall post the Claim form on the settlement website, [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com), and shall send the Claim Form to all potential Class Members who request it.

19. Members of the Class will be given until June 1, 2017, more than six months after the Notice Plan is completed, to file a claim.

**Schedule Leading Up To and Including the Fairness Hearing**

20. A hearing on final approval (the "Fairness Hearing") shall be held before this Court at April 28, 2017 at 11 a.m. MDT at the United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, Courtroom A802, Denver, CO 80294-3589. At the Fairness Hearing, the Court will consider, *inter alia*: (a) the fairness, reasonableness and adequacy of the Settlement and whether the Settlement should be finally approved; (b) whether the Court should approve the proposed plan of distribution of the Settlement Fund among Class members; (c) whether the Court should approve awards of attorneys' fees and reimbursement of expenses to Class Counsel; (d) whether service awards should be awarded to the Class Representatives and the appropriate amount of such service awards, if any; and (e) whether entry of a Final Judgment and Order terminating the litigation should be entered. The Fairness Hearing may be rescheduled or continued; in this event, the Court will furnish all counsel with

appropriate notice. Class Counsel shall be responsible for communicating any such rescheduling promptly to the Class by posting a conspicuous notice on the following website:  
[www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).

21. Class members who wish to (a) object to the proposed Settlement; and/or (b) appear in person at the Fairness Hearing, must first send an Objection and, if intending to appear, a Notice of Intention to Appear, along with a Summary Statement outlining the position(s) to be asserted and the grounds therefore together with copies of any supporting papers or briefs, via first class mail, postage prepaid, to the Clerk of the United States District Court of the United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, Courtroom A802, Denver, CO 80294-3589 on or before March 1, 2017 with copies to the following counsel:

Merrill G. Davidoff  
David F. Sorensen  
BERGER & MONTAGUE, P.C.  
1622 Locust Street  
Philadelphia, PA 19103  
Tel: (215) 875-3000

*Lead Counsel for the Class*

Bradley H. Weidenhammer  
KIRKLAND & ELLIS LLP  
300 North LaSalle  
Chicago, IL 60654  
Phone: (312) 862-3218

*Counsel for Dow and Rockwell*

To be valid, any such Objection and/or Notice of Intention to Appear and Summary statement must be postmarked no later than March 1, 2017. Except as herein provided, no person or entity shall be entitled to contest the terms of the proposed Settlement. All persons and entities who fail to file an Objection and/or Notice of Intention to Appear as well as a Summary Statement as

provided above shall be deemed to have waived any such objections by appeal, collateral attack or otherwise and will not be heard at the Fairness Hearing.

22. All briefs and materials in support of the application for an award of attorneys' fees and reimbursement of expenses, and service awards for the Class Representatives, shall be filed with the Court by January 12, 2017.

23. All briefs and materials in support of the final approval of the settlement and the entry of Final Judgment proposed by the parties to the Settlement Agreement shall be filed with the Court by March 31, 2017. Any reply briefs in further support of the application for an award of attorneys' fees and reimbursement of expenses, and service awards for the Class Representatives, shall also be filed with the Court by March 31, 2017.

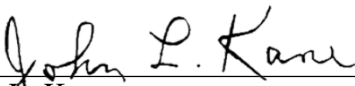
24. All proceedings in the action between the Class Representatives and the Defendants are hereby stayed until such time as the Court renders a final decision regarding the approval of the Settlement and, if the Court approves the Settlement, enters Final Judgment and dismisses such actions with prejudice.

25. Neither this Order, nor the Settlement Agreement, nor any other Settlement-related document, nor anything contained herein or therein or contemplated hereby or thereby, nor any proceedings undertaken in accordance with the terms set forth in the Settlement Agreement or herein or in any other Settlement-related document, shall constitute, be construed as or be deemed to be evidence of or an admission or concession by Defendants as to the validity of any claim that has been or could have been asserted by Class Representatives against Defendants or as to any liability by Defendants as to any matter set forth in this Order, or as to whether any class, in this case or others, may be certified for purposes of litigation and trial.



Dated this 5<sup>th</sup> day of August, 2016

BY THE COURT:

  
\_\_\_\_\_  
John L. Kane  
Senior U.S. District Judge

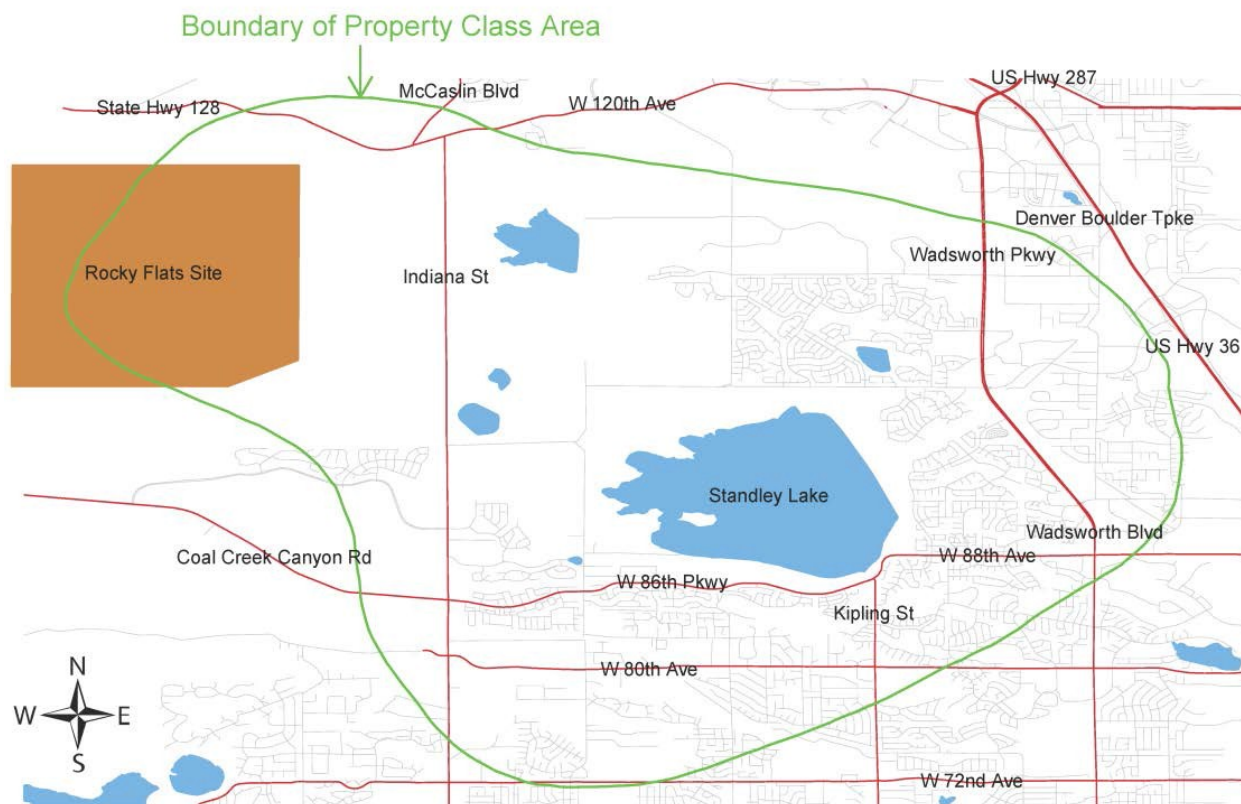
**AMENDED**  
**Exhibit 3**

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO

**Did you own property near and downwind  
from the former Rocky Flats Nuclear Weapons  
Plant (in Jefferson County, northwest of  
Denver, Colorado) on June 7, 1989?  
Are you an heir of someone who did?**

**If so, you could get money from a proposed  
\$375 million class action settlement.**

**Rocky Flats Property Class Area**



*This Notice is being provided by Order of a U.S. District Court.  
It is not a solicitation from a lawyer. You are not being sued.*

A \$375 million Settlement has been proposed in a lawsuit against the former operators of the Rocky Flats Nuclear Weapons Plant. The lawsuit, filed in 1990, claims that Rockwell International Corp. (“Rockwell”) and The Dow Chemical Co. (“Dow”) caused the properties owned by the Class Representatives and the other Class Members in the Property Class Area (see map above) to be contaminated with plutonium, a hazardous radioactive substance, which caused the properties’ values to be less than they otherwise would have been and which substantially interfered with Class Members’ use and enjoyment of their property. Defendants deny Plaintiffs’ claims in general and specifically deny that they caused harm to the properties owned by Class Representatives and the other Class Members, deny that Defendants substantially interfered with the use and enjoyment of property, and deny that the properties experienced a diminution in value. The case is known as *Cook et al. v. Rockwell International Corporation and The Dow Chemical Company*, Civil Action No. 90-00181-JLK (D. Colo.).

The parties have agreed to settle to avoid additional delay and uncertainty in a case that already is over 26 years old. But, before any money is paid, the proposed Settlement must be approved by the Court.

## **Who is a Class Member?**

The Property Class (or “Class”) includes all persons and entities that owned an interest (other than mortgagee and other security interests) in real property within the Property Class Area **as of June 7, 1989 (one day after a famous FBI raid of the plant site)**. If you are an heir of someone who owned property as of June 7, 1989 in the Property Class Area, or are the successor in interest to an entity which owned property as of June 7, 1989 in the Property Class Area, you may also file a claim. **Only** people or entities who owned property in the Property Class Area **on June 7, 1989**, or heirs or successors to people or entities who owned property in the Property Class Area **on June 7, 1989** are members of the Property Class. Those who sold their property before June 7, 1989 or who purchased property only after June 7, 1989 are **not** Class Members. Mortgagees (like banks) and owners of other security interests are **not** members of the Class.

*Note that you may have received this Notice by mail in error – simply receiving this Notice does **not** mean you are definitely a Class Member. You should confirm that you are a Class Member before submitting the attached Claim Form. See Question 8, below.*

## **Background**

You may have already received a mailed notice in 1999 alerting you to this class action lawsuit (the “lawsuit”). The lawsuit claims that Dow and Rockwell, the two companies that operated Rocky Flats from 1952 through 1989, released radioactive hazardous substances into the surrounding area. Dow operated Rocky Flats from 1952 until June 30, 1975. Rockwell operated Rocky Flats from June 30, 1975 until December 31, 1989. Plaintiffs Marilyn Cook, Richard and Sally Bartlett, and William and Delores Schierkolk (the “Class Representatives” or “Plaintiffs”) brought this lawsuit against Dow and Rockwell. (Delores Schierkolk is now deceased; Mr. Schierolk is her heir and representative). Dow and Rockwell are, collectively, called “Defendants.”

## **The purpose of this notice is to inform you that:**

- A proposed Settlement has been reached with Defendants.
- The Court has certified a settlement Class and preliminarily approved the proposed Settlement.
- The Court has scheduled a hearing on final approval of the Settlement, the plan for allocating the Net Settlement Fund (described in response to Question 12), and on Plaintiffs’ application for payment and reimbursement of costs, expenses, and attorneys’ fees, and for service awards to the Class Representatives. That hearing, before United States District Judge John L. Kane has been scheduled for \_\_\_\_\_ at \_\_\_\_\_ at the United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, 901 19th Street, Denver, CO 80294, Courtroom A802, Denver, CO 80294-3589. This hearing is open to the public. The date and time of the hearing may be changed without further notice, but any changed date of the hearing will be posted at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com). Please check that website for updates.
- This Notice contains summary information regarding the Settlement, which is dated May 18, 2016. The Settlement Agreement is available through the methods listed in response to Questions 10 and 25 below, including at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).
- This Notice also contains a Claim Form. If you are a member of the Class, you must complete and return the Claim form before [ , 201X] in order to receive a share of the Settlement.

## Your legal rights will be affected whether you take action, or not.

<b>YOUR LEGAL RIGHTS AND OPTIONS IN THIS LAWSUIT</b> <b><i>Please read this notice carefully</i></b>	
<p><b>Stay in the Class and Submit a Claim Form</b></p> <p><b><i>** The only way to receive a payment is to stay in the Class and submit a claim form</i></b></p>	<p>If you do nothing, you will remain in the Class. You may choose to participate in the Settlement by filling out and returning the enclosed claim form no later than [ , 201X]. The portion, if any, of the Settlement Fund to be allocated to you will be calculated on a <i>pro rata</i> basis based on the assessed value of the property you owned (or are the heir from the owner or successor to the entity which owned the property) as of June 7, 1989 located within the Property Class Area. To receive your share, you will need to sign and return the claim form as directed. If you remain in the Class, all the Court's rulings will apply to you and you may not file your own lawsuit about the conduct at issue in this case.</p>
<p><b>Stay in the Class but Object to the Settlement</b></p>	<p>If you wish to remain in the Class, but you object to the Settlement, or some part of it, you may (as discussed below) submit a written objection to the Court and counsel by [date] about why you object to the Settlement. You may still submit a claim form and must do so no later than [ , 201X] if you wish to share in the Settlement Fund. In other words, you can submit a claim form and object. If you remain in the Class, all the Court's prior rulings will apply to you and you may not file your own lawsuit about the conduct at issue in this case.</p>
<p><b>Exclude Yourself from the Class and the Settlement</b></p> <p><b><i>** If you Opt Out you will NOT get a payment</i></b></p>	<p>You may choose to exclude yourself (i.e., "opt out") from the Class. If you opt out, you will <b>not</b> be eligible to share in the Settlement Fund. If you opt out, in order to recover, you must obtain your own counsel and determine whether to bring your own lawsuit. Your own counsel can advise you about whether any of the Court's prior orders in this case will have any effect on you, and whether your claims may be barred by the statute of limitations. If you decide to exclude yourself, you must do so by [date].</p>
<p><b>Getting More Information</b></p>	<p>If you would like to obtain more information about the Settlement, you can send questions to the lawyers identified in this notice.</p>

<b>Go To a Hearing</b>	The hearing about the Settlement will be open to the public and you may attend, but you do not have to. If you have submitted a written objection to the Settlement, you may (but do not have to) attend the Court hearing. Your objection will be considered whether or not you go to hearing, but you will only be allowed to speak at the hearing if you file written comments in advance of the hearing.
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**THESE RIGHTS AND OPTIONS  
– AND THE DEADLINES TO EXERCISE THEM –  
ARE EXPLAINED IN THIS NOTICE.**

*The Court in charge of this case still has to decide  
whether to approve the Settlement.*

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## The Property Class

The following Class, also known as the “Property Class”, has been certified for settlement purposes: The Property Class includes all persons and entities who have not previously opted out or who do not timely opt out of the class who owned, as of June 7, 1989, an interest (other than mortgagee and other security interests) in real property situated within the Property Class Area, exclusive of governmental entities, defendants, and defendants’ affiliates, parents, and subsidiaries. The Property Class Area is a geographic area near and downwind from the former Rocky Flats Nuclear Weapons Plant in Colorado; its boundary is portrayed by the map shown above, on the page 1 of this notice. For a more detailed map, visit [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com). In addition, you can check whether a specific property is located in the Property Class Area by going to [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com) and entering the address of the property.

You may have a claim if you are a member of the Class because you owned property in the Property Class area as of **June 7, 1989**, or if you are the heir of someone, or successor in interest to an entity, who owned property in the Property Class area as of **June 7, 1989**. If you owned property in the Property Class Area only **before** June 7, 1989 **and** sold it **before June 7, 1989**, or if you only bought property in the Property Class Area **after June 7, 1989**, you are **not** a Class Member and are **not** eligible to file a claim or participate in the Settlement.

You can contact the Settlement and Claims Administrator, Heffler Claims Group, at 1-844-528-0187 with any questions.

## Summary of the Settlement

Class Representatives entered into a Settlement Agreement with the two Defendants in this lawsuit, Dow and Rockwell. This Settlement was entered into after more than 26 years of litigation, including a trial that lasted more than four months in 2005-06, two separate appeals in the Tenth Circuit Court of Appeals, and two rounds of briefing in connection with two separate petitions for writs of certiorari seeking review by the United States Supreme Court. The Settlement provides that Defendants will pay a total of \$375 million (the “Settlement Fund”). The Court will determine how much of that amount will be deducted for payment of Class Counsel’s attorneys’ fees, costs, and service awards to the Class Representatives. After those deductions and after deductions for administration and notice and related costs, the remainder will be allocated to Class Members who submit timely and valid claims. The full text of the proposed Settlement, which is dated May 18, 2016, is available for your review at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).

Class Counsel, with the input and approval of the Class Representatives, have determined that the Settlement is in the best interests of the Class. As with any litigated case, Plaintiffs faced additional delay and an uncertain outcome if this case continued. There was a risk of Supreme Court review and reversal, a risk of future adverse rulings in the district court and/or by the Tenth Circuit Court of Appeals, a risk of years of additional delay in a case that already is 26 years old, and a risk that without this Settlement, Class Members might recover nothing.

## Basic Information

### 1. Why did I receive this notice?

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You received this notice because, according to available records, you may have owned or may be the heir of someone who owned on June 7, 1989 an interest in real property in the Property Class Area near and downwind from the Rocky Flats Environmental Technology Site (formerly known as the Rocky Flats Nuclear Weapons Plant) located in Jefferson County, seventeen miles northwest of Denver, Colorado. You may have been notified, in 1999, that the Court allowed, or “certified,” a class action lawsuit that may affect you. You are receiving this notice because under the terms of the proposed Settlement, you have legal rights and options that you may exercise. Judge John L. Kane of the United States District Court for the District of Colorado is overseeing this class action. The lawsuit is known as *Cook et al. v. Rockwell International Corporation and The Dow Chemical Company*, Civil Action No. 90-00181-JLK (D. Colo.).

### 2. What is the lawsuit about?

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The lawsuit claims that Dow and Rockwell caused the properties owned by the Class Representatives and the other Class Members to be contaminated with plutonium, a hazardous radioactive substance, which caused the properties’ values to be less than they otherwise would have been and which interfered with Class Members’ use and enjoyment of their property. Defendants deny Plaintiffs’ claims in general and specifically deny that they have caused harm to the properties owned by Class Representatives and the other Class Members, deny Defendants have interfered with the use and enjoyment of property, and deny that the properties experienced a diminution in value.

### 3. What is the history of this lawsuit?

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This lawsuit started more than 26 years ago, when Plaintiffs filed a complaint on January 30, 1990. In their complaint, Plaintiffs brought claims under a federal statute known as the Price-Anderson Act and under Colorado state nuisance and trespass law. Over the next 15 years, the parties engaged in extensive fact discovery, exchanged reports by numerous experts, and engaged in very extensive legal briefing on numerous issues. Following a trial in the District Court of Colorado, which began in October 2005 and featured 42 live witnesses and over 1,000 exhibits, the jury on February 14, 2006, returned a verdict in favor of the Property Class, finding that Dow and Rockwell caused a reduction in the aggregate value of the Class Properties of \$176,850,340.

After the trial, the District Court denied Defendants’ motions requesting that the District Court enter judgment in favor of Defendants or order a new trial. In 2008, the District Court entered final judgment in favor of Plaintiffs on their claims arising under the Price-Anderson Act, and adding interest and punitive damages.

Defendants appealed, and the Tenth Circuit Court of Appeals in 2010 vacated the judgment. The Tenth Circuit held that the Price-Anderson Act required Plaintiffs to prove additional and more severe harm than would be required under Colorado state nuisance law. The Tenth Circuit

also held that the District Court's jury instructions relating to Plaintiffs' nuisance claims were correct, but that the District Court's jury instructions relating to Plaintiffs' trespass claims were incorrect. The Tenth Circuit also ruled against any punitive damages and reversed the class certification ruling.

Plaintiffs then filed a petition for a writ of certiorari requesting that the United States Supreme Court review the Tenth Circuit's ruling. The Supreme Court denied Plaintiffs' petition. In other words, the Supreme Court declined to hear an appeal of the Tenth Circuit's ruling.

The lawsuit then returned to the District Court of Colorado. The District Court directed the parties to submit briefs about the issue of whether the federal Price-Anderson Act "preempts" Colorado state law. If a federal law "preempts" state law, it can mean the federal law controls and the state law has no effect. Plaintiffs argued that their claims under Colorado nuisance law were not preempted. Defendants argued the opposite, that such claims were preempted. The District Court ruled in favor of Defendants, finding that Plaintiffs' state law nuisance claims were preempted by the Price-Anderson Act, and that Plaintiffs could not pursue their case other than by trying to recover under the Price-Anderson Act (with the extra requirements imposed by the Tenth Circuit Court of Appeals in their 2010 decision).

Plaintiffs appealed the District Court's ruling to the Tenth Circuit Court of Appeals, the second appeal in this lawsuit. In June 2015, the Tenth Circuit Court of Appeals reversed the District Court's ruling, and ruled that Plaintiffs' Colorado state law nuisance claims were not preempted by the Price-Anderson Act. The Tenth Circuit ordered that the District Court enter judgment in favor of Plaintiffs on Plaintiffs' nuisance claims after resolving other outstanding issues. Among the other issues was class certification, because the Tenth Circuit in 2010 had reversed the District Court's ruling that this litigation could proceed as a class action.

Following the ruling by the Tenth Circuit Court of Appeals in 2015, Plaintiffs filed a motion in the District Court requesting that the District Court re-certify the Class and enter judgment in favor of Plaintiffs and the Class. Defendants filed briefs in the District Court opposing Plaintiffs' motions and asking the District Court to find that Plaintiffs' nuisance claims were still preempted because Plaintiffs at the trial in 2004 had not proven that the amount of plutonium released from Rocky Flats was above certain alleged federal standards Defendants claimed applied. Defendants also filed a petition for a writ of certiorari requesting that the United States Supreme Court review the Tenth Circuit's second, 2015 ruling (that Plaintiffs' Colorado state nuisance claims were not preempted by the Price-Anderson Act). Plaintiffs filed a response (1) asking the Supreme Court to deny Defendants' petition; and (2) asking the Supreme Court, if it decided to review the 2015 decision by the Tenth Circuit, to also review the 2010 decision by the Tenth Circuit. The Defendants replied, asking the Supreme Court to review only the 2015 decision.

The parties also engaged in settlement discussions, with the help of a mediator (a former federal judge), and eventually reached a settlement in this case after very extensive discussions over seven months. The parties reached this settlement before the District Court issued a ruling on the pending motions for judgment, class certification, and preemption, and before the Supreme Court issued a ruling on the petitions requesting that the Supreme Court review the Tenth Circuit's rulings. The settlement was reached more than 26 years after this lawsuit started in 1990, and more than 10 years after the four-month trial ended in 2006.

#### **4. What is a class action?**

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In a class action lawsuit, one or more persons or entities (called “plaintiffs” or “class representatives”) sues on behalf of others who have similar claims. Together, they are typically called a “class” or “class members.” Accordingly, people or entities who owned property in the Property Class Area on June 7, 1989 are members of the Class. The individuals that brought the Class Action on behalf of the Class are called the Class Representatives. The companies that were sued by the Class Representatives are called the Defendants. In this case, the Defendants are Dow and Rockwell.

#### **5. Why is this lawsuit a class action?**

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In this case, the Class Representatives are Marilyn Cook, Richard and Sally Bartlett, and William and Delores Schierkolk. (Delores Schierkolk is deceased, but William Schierkolk is her heir and representative.)

The Class Representatives and the entities on whose behalf they have sued together constitute the “Class” or “Class Members.” They are also called the “Plaintiffs.” Their attorneys are called “Plaintiffs’ Counsel” or “Class Counsel.”

In a class action lawsuit, one court resolves the issues for everyone in the class, except for those class members who exclude themselves from the class. The Court, by order dated May 19, 2016, certified a Class in this case for purposes of settlement. A copy of the Court’s order, along with other materials related to this lawsuit, may be found at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).

The Court determined that, for purposes of settlement, this lawsuit can be a class action because it meets the requirements of Federal Rule of Civil Procedure 23, which governs class actions in federal courts. Specifically, the Court has found that, for purposes of settlement:

- The Class is so numerous that joinder of all members is impracticable;
- There are factual and legal questions that are common to the members of the Class;
- The claims of the Class Representatives are typical of the claims of the Class;
- The Class Representatives, and the lawyers representing the Class, have represented and will continue to fairly and adequately represent the interests of the members of the Class;
- The common facts or legal questions predominate over any questions that may affect only individual members of the Class; and
- This class action is more efficient than having many individual lawsuits.

#### **6. Has the Court identified Class Claims, Issues, or Defenses?**

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The Court has identified the following classwide issues:

- Whether a threat of future harm can constitute an actionable nuisance under Colorado law;

- Whether a current human health risk can constitute an actionable nuisance under Colorado law;
- Whether Dow interfered with Class Members' use and enjoyment of their properties in the Class Area in one or both of these ways: (i) by causing Class Members to be exposed to plutonium and placing them at some increased risk of health problems as a result of this exposure; and/or (ii) by causing objective conditions that pose a demonstrable risk of future harm to the Class Area;
- Whether Dow's interference with Class Members' use and enjoyment of their properties was both "unreasonable" and "substantial";
- Whether the activity or activities causing the unreasonable and substantial interference by Dow were either "intentional" or "negligent";
- Whether Rockwell interfered with Class Members' use and enjoyment of their properties in the Class Area in one or both of these ways: (i) by causing Class Members to be exposed to plutonium and placing them at some increased risk of health problems as a result of this exposure; and/or (ii) by causing objective conditions that pose a demonstrable risk of future harm to the Class Area;
- Whether Rockwell's interference with Class Members' use and enjoyment of their properties was both "unreasonable" and "substantial";
- Whether the activity or activities causing the unreasonable and substantial interference by Rockwell were either "intentional" or "negligent";
- Whether the actual value of the properties in the Class Area was less than the value of these properties would have had but for the nuisance committed by Dow and Rockwell;
- What percentage of the combined nuisance was caused by Dow and what percentage was caused by Rockwell; and
- With regard to Dow and Rockwell's affirmative defense of setoff, whether Dow and Rockwell proved that their nuisance caused a diminution in the value of properties in the Class Area in one or more specific time periods before June 7, 1989.

## **7. Why is there a Settlement with Dow and Rockwell?**

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By settling, Class Representatives and Defendants avoided the risks, delay and costs of continued litigation. The Class Representatives and Class Counsel believe that the proposed Settlement is fair, adequate, reasonable, and in the best interests of the Class. Without this proposed Settlement, there was a risk of years of more delay, and a risk that Class Members would never recover anything, depending on how the Supreme Court and/or the District Court might rule on the motions pending before those courts, and depending on what might occur after any such rulings in the future. If the Supreme Court, for example, agreed to review the case, the result could have been that Plaintiffs' claims were preempted and so Class Members would recover nothing. Even if the Supreme Court ruled for Plaintiffs, the District Court could have ruled against class certification or ruled that Plaintiffs' claims were preempted by federal standards. Or, if the District Court ruled in favor of Plaintiffs and Defendants appealed, the

Tenth Circuit might disagree in the third appeal in this case, and the losing side likely would have sought review yet again by the Supreme Court years from now. In short, there was risk of not recovering anything, and risk of years more delay. The proposed Settlement guarantees a significant recovery for the Class.

## **Who is in the Class and Settlement**

To see if you are in the Class that is settling with Defendants Dow and Rockwell, you first have to determine whether you are a Class Member.

### **8. *Am I part of the Class and the Settlement?***

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The Property Class includes all persons and entities who have not previously opted out or who do not timely opt out of the class who owned, as of June 7, 1989, an interest (other than mortgagee and other security interests) in real property situated within the Property Class Area, exclusive of governmental entities, Defendants, and Defendants' affiliates, parents, and subsidiaries. The Property Class Area is a geographic area near and downwind from the former Rocky Flats Nuclear Weapons Plant in Colorado; its boundary is portrayed in the map that appears above. A more detailed map is available at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com). In addition, you can check whether a specific property is located in the Property Class Area by going to [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com) and entering the address of the property.

Even if you did not own property as of June 7, 1989 within the Property Class Area, you still may file a claim if you are an heir or successor in interest to someone or some entity who owned property as of June 7, 1989 in the Property Class Area.

**Please note: Only** people or entities who owned property in the Property Class Area, or heirs or successors to people or entities who owned property in the Property Class Area **on June 7, 1989** are members of the Property Class. Those who sold their property **before June 7, 1989** or who purchased property only **after June 7, 1989** are **not** Class Members and are **not** eligible to participate in the Settlement.

Prior notice of this lawsuit was mailed on or about November 15, 1999. If you are not sure whether you are included, you may call or write to the Settlement and Claims Administrator or the lawyers in this case at the telephone numbers or addresses listed in Questions 15 and 18 below.

Again, note that you may have received this Notice by mail in error – simply receiving this Notice does **not** mean you are definitely a Class Member.

### **9. *Are governmental entities part of the Class and the Settlement?***

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Governmental entities are not part of the Class. As set forth above, the Class does not include governmental entities, Defendants, and defendants' affiliates, parents, and subsidiaries.

In order to issue the best notice practicable, this Notice is being mailed to governmental entities located in the Property Class Area so that the governmental entities may notify their constituents about this Settlement.

## The Settlement Benefits – What You Get

### 10. *What does the Settlement provide?*

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The Settlement provides that \$375 million will be paid into a Settlement Fund, to be held in an escrow account, for the benefit of the Class. This money will be paid into the Settlement Fund on or before July 28, 2017. Class Counsel will apply to the Court for an award of attorneys' fees not to exceed 40% of the Settlement Fund (including a proportionate share of any interest earned on the Settlement Fund) and reimbursement of previously incurred expenses, service awards to each of the Class Representatives of \$130,000-260,000 (not to exceed a total of \$780,000) for their efforts during nearly 27 years of litigation for the Class, and payment for costs of notice and administering the Settlement from the fund. The remainder (the "Net Settlement Fund") will be divided among Class Members.

In exchange, the litigation between Plaintiffs and the Class and Defendants will be dismissed with prejudice, and Defendants Dow and Rockwell will be released by the Class from certain claims. Again, the full text of the Settlement Agreement is available at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).

Generally, the Class Representatives and the Class Members will release Defendants and any past, present and future parents, subsidiaries, divisions, affiliates, joint ventures, stockholders, officers, directors, management, supervisory boards, insurers, indemnitors (including the United States Department of Energy or "DOE"), general or limited partners, employees, agents, trustees, associates, attorneys and any of their legal representatives or any other representatives thereof (and the predecessors, heirs, executors, administrators, successors and assigns of each of the foregoing) (the "Released Parties") from any and all manner of claims, rights, debts, obligations, demands, actions, suits, causes of action, damages whenever incurred, liabilities of any nature whatsoever, known or unknown, suspected or unsuspected, fixed or contingent, including costs, expenses, penalties and attorneys' fees, accrued in whole or in part, in law or equity, that the Plaintiffs and Settlement Class Members (including any of their past, present or future officers, directors, insurers, general or limited partners, divisions, stockholders, agents, attorneys, employees, legal representatives, trustees, parents, associates, affiliates, joint ventures, subsidiaries, heirs, executors, administrators, predecessors, successors and assigns, acting in their capacity as such) (collectively the "Releasers") ever had, now have or hereafter can, shall or may have, directly, representatively, derivatively or in any other capacity, arising out of or relating in any way to the Released Parties' conduct in connection with Rocky Flats, including without limitation any claim that was alleged or could have been alleged in this suit (the "Released Claims"), *except that* the Settlement Class Members and the Plaintiffs do not release claims for actual bodily injury, nor claims unrelated to the allegations in the complaint such as pension, or product liability or contract claims or claims of former Rocky Flats workers under Workman's Compensation or similar laws or regulations. Releasers also covenant and agree that each shall not sue or otherwise seek to establish or impose liability against any Released Party based, in whole or in part, on any of the Released Claims, regardless of whether or not any Releasers objected to the Settlement.

The costs and expenses related to this lawsuit as discussed above will be paid solely out of the proceeds of the Settlement Fund. Class Counsel plan to ask the court for service awards of \$260,000 for Marilyn Cook, \$260,000 total for Richard and Sally Bartlett, and \$260,000 for

William and Delores Schierkolk (or \$780,000 total for all the Class Representatives), to compensate them for their participation in, and prosecution of, this case on behalf of the Class, which has included, among other things, production of documents, providing written discovery responses, appearing for depositions, appearing and testifying at trial, and regular communication with Class Counsel for nearly 27 years. Class Counsel will file their application for the payment and reimbursement of expenses and costs, for an award of attorneys' fees not to exceed 40% of \$375 million, and for service awards for the Class Representatives with the Clerk of the United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, 901 19th Street, Denver, CO 80294, Courtroom A802, Denver, CO 80294-3589 on or before\_\_\_\_\_. Class Counsel will post their application on [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com) shortly after filing.

This is only a summary of the proposed Settlement and is qualified in its entirety by the terms of the actual Settlement Agreement. A copy of the Settlement Agreement, which includes the releases, is on public file with the United States District Court for the District of Colorado at the above address during normal business hours and is also available for viewing at the following website: [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com).

The Court has scheduled a public Fairness Hearing for [DATE and TIME] in order to determine whether to finally approve the following: the proposed Settlement, Plaintiffs' request for payment of attorneys' fees, reimbursement of expenses and costs, Class Representative service awards, and the proposed Plan of Allocation that will be followed to distribute the Net Settlement Fund to Class Members, following payment of attorneys' fees, reimbursement of expenses, fees, costs, and taxes, and any service awards for the Class Representatives, as described in above. See Question 22.

**11. *If I participate in the Settlement, am I releasing claims for bodily injury?***

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No. Settlement Class Members and the Plaintiffs do not release claims for actual bodily injury, nor claims unrelated to the allegations in the complaint such as pension, or product liability or contract claims or claims of former Rocky Flats workers under Workman's Compensation or similar laws or regulations. What this means is that, if you believe you have gotten cancer, for example, from exposure to plutonium from Rocky Flats, you are not giving up the right to sue over that, just by filing a claim as part of this settlement. If you are a Class Member and file a timely and valid claim as part of this settlement, you can still decide to sue if you have cancer or develop cancer or have suffered other bodily injury. You would need to consult your own lawyer about any such potential lawsuit. Plaintiffs and Class Counsel are not suggesting that you may or do have any claims for bodily injury, nor expressing any opinion about the likelihood of success if you decide to pursue such claims – again, you would need to consult your own lawyer about any such potential lawsuit.

**12. *How much will my payment be?***

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Each Class Member's proportionate, *pro rata*, recovery will be determined by using a Court-approved Plan of Allocation. Under the proposed Plan of Allocation, your share of the Net Settlement Fund will depend on the assessed value of the property you owned on June 7, 1989 (or the property for which you may file a claim because you are an heir of someone who owned



property in the Class Area on June 7, 1989 or you are the successor to an entity that did) and the value of the other properties owned by Class Members. Those Class Members who owned properties in the Class Area on June 7, 1989 that were more valuable as of June 7, 1989 will receive more than those who owned properties that were less valuable as of June 7, 1989. The value of the property today does not matter: your share of the Net Settlement Fund depends on the value of the property as of June 7, 1989.

After deductions for attorneys' fees, expenses, service awards, and administration and notice and related costs as determined by the Court, the Net Settlement Fund will then be divided into three parts, or "buckets", according to the type of property: residential, commercial (in other words, non-residential), or vacant. 81.537% of the Net Settlement Fund will go in the residential property bucket; 3.196% in the commercial (non-residential) bucket; and 15.267% in the vacant land bucket. To illustrate, if the Net Settlement Fund were \$100, then about \$81.54 would go in the residential property bucket; about \$3.20 in the commercial (non-residential) bucket; and about \$15.27 in the vacant land bucket. These percentages are based on the numbers of different types of properties and the findings of the jury at the trial held in 2005-06.

Class Members will then share in the bucket they belong to. So, owners (or their heirs or successors) of residential properties located in the Property Class Area as of June 7, 1989 will receive a *pro rata* share of 81.537% of the Net Settlement Fund. Owners (or their heirs or successors) of land that was assessed as commercial property as of June 7, 1989 and located in the Property Class Area will receive a *pro rata* share of 3.196% of the Net Settlement Fund. Retail and industrial property is assessed as "commercial." Owners (or their heirs or successors) of land that was vacant as of June 7, 1989 and located in the Property Class Area will receive a *pro rata* share of 15.267% of the Net Settlement Fund. Most of the properties in the Property Class Area as of June 7, 1989 were residential properties. You can share in more than one bucket if you owned more than one type of property in the Property Class Area as of June 7, 1989 (or if you are the heir of someone or successor to an entity who owned more than one type of property in the Property Class Area as of June 7, 1989; or you owned one type, and are the heir of someone or successor to an entity who owned another type, etc.).

These calculations will be done using property and appraisal data obtained by the Settlement and Claims Administrator from Jefferson County Colorado Assessor's Office, Property Records Division in connection with the administration of this Settlement. The information obtained from Jefferson County relates to property assessments completed by the County in April 1989, which is closest in time to June 7, 1989, along with property code type data from 1992, the earliest date on which such property type data is available. In addition, the Settlement and Claims Administrator will, if necessary, obtain similar data from Broomfield County Colorado Assessor's Office, Property Records Division. It is anticipated that after the first distribution of settlement proceeds there will be unclaimed or residual funds, and that a second distribution will be needed. Class Counsel anticipate that in any such second or subsequent distribution, subject to Court approval, the properties closest to the former Rocky Flats plant will be given an extra weighting and so will receive a relatively larger share of any such subsequent distribution. If there are residual funds after distribution(s) that cannot be economically distributed to the Class (because of the costs of distribution as compared to the amount remaining), Class Counsel may seek Court approval for such sums to be used to make *cy pres* payments for the benefit of members of the Class. What this means is that, subject to Court approval, the remaining money

may be used, for example, to make a donation to a group or groups whose interests are aligned with Class members or otherwise as directed by the Court.

Money from the Net Settlement Fund will only be distributed to Class Members if the Court grants final approval of the Settlement. If there is an appeal, that will delay any distribution.

### **13. How can I get a payment?**

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Enclosed is a Claim Form, which you must fill out and return by [\_\_\_\_, 201X] to request a *pro rata* share of the Net Settlement Fund. You are asked to verify the accuracy of the information in the Claim Form, and to sign and return the form according to the directions in the Claim Form, which includes a release of claims against Defendants as set forth in Question 10 above.

### **14. When would I get my payment?**

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Payment from the Net Settlement Fund is conditioned on several matters, including the Court's approval of the Settlement and such approval being final and no longer subject to any appeals to any court. Upon satisfaction of various conditions, the Net Settlement Fund will be allocated to Class Members on a *pro rata* basis pursuant to the Plan of Allocation as soon as possible after final approval has been obtained for the Settlement. If there is an appeal of the final approval, that appeal could take several years. Any accrued interest on the Net Settlement Fund will be included, *pro rata*, in the amount paid to Class Members. The Settlement Agreement also may be terminated, or cancelled, for various reasons, including if more than a certain number of Class Members opt out of the Class and settlement. Should the Settlement be terminated, the lawsuit against Dow and Rockwell will proceed as if the Settlement had not been reached.

## **The Settlement Fund – How to Participate in the Settlement**

### **15. What do I have to do to receive a share of the Settlement Fund?**

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If you are a member of the Class because you owned property in the Property Class Area on June 7, 1989 (or are the heir of someone who owned property in the Property Class Area on June 7, 1989 or are the successor in interest to an entity that did), you need to complete and return the enclosed Claim Form on or before [ , 201X] in order to participate in the Settlement and receive a share of the Net Settlement Fund. A copy of the Claim form is available at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com). This Notice and Claim form were mailed on [date], and you have to return the completed Claim Form by [ , 201X]. Please be sure to accurately complete the entire Claim Form and include any necessary paperwork or documentation. If you have questions about the Claim Form, you can contact the Settlement and Claims Administrator at the following address and phone number:

Heffler Claims Group  
PO Box 58459  
Philadelphia, PA 19102-8459  
1-844-528-0187

*Settlement and Claims Administrator for the Settlement*

## **Excluding Yourself From the Class & the Settlement**

### **16. Can I get out of the Class and the settlement?**

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Yes. If you do not wish to share in the Settlement and do not wish to stay in the Class, you can exclude yourself from the Class (this is called “opting out” of the Class) on or before \_\_\_\_\_. To exclude yourself, you must send a letter via first class U.S. mail saying that you want to exclude yourself from the Class Action in *Cook et al. v. Rockwell International Corporation and The Dow Chemical Company*, Civil Action No. 90-00181-JLK (D. Colo.). Be sure to include your name, address, address of the property you (or your heir) owned on June 7, 1989, telephone number, and your signature. Mail the exclusion to the lawyers listed in Question 18 below. Your letter requesting exclusion must be postmarked no later than [date]. **If you exclude yourself from the Class, you will not be able to recover anything from this proposed Settlement.** You should contact your own lawyer about whether you may be able to sue (or continue to sue) Defendants in the future about the legal issues in this case, whether your claims may be barred by the statute of limitations, and about any potential effects the Court’s prior rulings may or may not have on you. If you exclude yourself from the Class so that you can start, or continue, your own lawsuit against Defendants, you should talk to your own lawyer as soon as possible, because your claims will be subject to certain defenses, including a potential statute of limitations defense, which means that your claims will expire if you do not take timely action. You need to contact your own lawyer about this issue.

If you do not exclude yourself from the Class, you will not be able to start a lawsuit, continue a lawsuit, or be part of any other lawsuit against Defendants arising from the claims released as part of the settlement, including claims brought in this lawsuit. All of the Court’s orders in the case between Plaintiffs and Defendants will apply to you and legally bind you. You will also be bound by the proposed settlement between Plaintiffs and Defendants if the Court grants Final Approval to the proposed settlement and enters final judgment in this lawsuit.

### **17. If I stay in the Class and don’t exclude myself, can I sue the Defendants for the same thing later?**

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No. If you remain in the Class and the Settlement is approved by the Court, you give up your right to sue Defendants relating to all of the claims described in Question 10 above. That is called “releasing” your claims and potential claims. The full text of the release is set forth on pages 9-10 of the Settlement Agreement, paragraph 7. You can participate in this Settlement, however, and still keep the right to sue for certain claims, such as for bodily injury. *See* Questions 10 and 11.

If you have your own pending lawsuit, speak to your lawyer in that case immediately, because you may have to exclude yourself from this Class to continue your own lawsuit against Defendants. Remember, the exclusion deadline is [date].

## The Lawyers Representing You

### **18. Do I have a lawyer in this case?**

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Yes; the Court appointed Berger & Montague, P.C. as Lead Counsel for the Class to represent you and all Class Members. Their contact information is as follows:

Merrill G. Davidoff  
David F. Sorensen  
BERGER & MONTAGUE, P.C.  
1622 Locust Street  
Philadelphia, PA 19103  
Tel: (215) 875-3000

*Lead Counsel for the Class*

### **19. Should I get my own lawyer?**

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If you remain in the Class, you do not need to hire your own lawyer because the lawyers appointed by the Court are working on your behalf. You may, however, hire a lawyer at your own expense and enter an appearance through your lawyer if you wish.

### **20. Do I need to hire a claims processing agent to help me file my claim?**

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**No.** You do **not** need to hire a claims processing agent to help you file your claim because the lawyers and the Settlement and Claims Administrator appointed by the Court will help you to file your claim and participate in the settlement. Please be careful about signing up with claims processing agents or others as they will charge a fee which will reduce your payment. If you have any questions, please contact the Settlement and Claims Administrator or Lead Counsel for the Class, whose contact information is shown at Questions 15 and 18.

## Objecting to the Settlement

### **21. How do I tell the Court that I don't like the Settlement, or that I object to the payment of attorneys' fees, expenses, and/or service awards from the Settlement Fund?**

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If you are a Class Member (and **have not excluded yourself**), you can object to the Settlement if you do not agree with its terms. You can give reasons why you think the Court should not approve the Settlement. You can also object to the attorneys' fees, service awards,

and/or reimbursement of expenses that are being sought by the attorneys who have litigated this lawsuit on behalf of Plaintiffs and the Class.

The Court will consider your views. To object, you must send a letter via first class mail saying that you object to the Settlement in *Cook et al. v. Rockwell International Corporation and The Dow Chemical Company*, Civil Action No. 90-00181-JLK (D. Colo.). Your letter must be post-marked by \_\_\_\_\_. Be sure to include your name, address, address of the property you (or the person(s) from whom you inherited the property) owned on June 7, 1989, telephone number, your signature, and the reasons you object to the Settlement. Mail the objection to:

Clerk for the United States District Court  
District of Colorado  
Alfred A. Arraj United States Courthouse, Courtroom A802  
Denver, CO 80294-3589

and mail a copy to each of the following:

Merrill G. Davidoff  
David F. Sorensen  
BERGER & MONTAGUE, P.C.  
1622 Locust Street  
Philadelphia, PA 19103  
Tel: (215) 875-3000

*Lead Counsel for the Class*

Bradley H. Weidenhammer  
KIRKLAND & ELLIS LLP  
300 North LaSalle  
Chicago, IL 60654  
Phone: (312) 862-3218

*Counsel for Dow and Rockwell*

Heffler Claims Group  
PO Box 58459  
Philadelphia, PA 19102-8459  
1-844-528-0187

*Settlement and Claims Administrator for the Settlement*

## **The Court's Fairness Hearing**

### **22. *When and where will the Court decide whether to approve the Settlement?***

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The Court will hold a Fairness Hearing at \_\_\_\_\_ on \_\_\_\_\_, in Courtroom A802 at the United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, 901 19th Street, Denver, CO 80294. The hearing will be open to the public. The date and time of

hearing may be changed without further notice to the Class, but any change will be posted at [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com). At this hearing, the Court will consider whether the Settlement is fair, reasonable and adequate and consider Class Counsel's motion for award of attorneys' fees, reimbursement of costs and expenses, and payment of service awards to the Class Representatives. If there are objections, the Court will consider them. After the hearing, the Court will decide whether to approve the Settlement. We do not know how long this decision will take.

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**23. Do I have to come to the hearing?**

No. Lead Counsel for the Class will answer questions that Judge Kane may have. But, you are welcome to come at your own expense. If you send an objection, you do not have to come to Court to talk about it. As long as you mail your written objection on time, the Court will consider it. You may also have your own lawyer attend, but it is not necessary.

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**24. May I speak at the hearing?**

You may ask the Court for permission to speak at the fairness hearing. To do so, you must send a letter via first class mail saying that it is your notice of intention to appear in *Cook et al. v. Rockwell International Corporation and The Dow Chemical Company*, Civil Action No. 90- 00181-JLK (D. Colo.). Be sure to include your name, address, address of the property you or your heir owned on June 7, 1989, telephone number, and your signature. Your notice of intention to appear must be postmarked no later than , and must be sent to the Clerk of the Court, Lead Counsel for the Class, and counsel for Dow and Rockwell, at the addresses set forth in the response to Question 21. You cannot speak at the hearing if you previously excluded yourself as a Class Member or you do so by the opt-out deadline of\_\_.

**Getting More Information**

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**25. Are more details available?**

Yes. For more detailed information about this lawsuit, please refer to [www.RockyFlatsSettlement.com](http://www.RockyFlatsSettlement.com), contact the Settlement and Claims Administrator Heffler Claims Group at 1-844-528-0187, or refer to the papers on file in this lawsuit, including the copy of the Settlement Agreement, which may be inspected at the Office of the Clerk, United States District Court for the District of Colorado, United States District Court for the District of Colorado, Alfred A. Arraj United States Courthouse, Courtroom A802, Denver, CO 80294-3589 during regular business hours of each business day. You may also get additional information by calling or writing to Lead Counsel for the Class as indicated above.

**PLEASE DO NOT CONTACT THE CLERK OF THE COURT OR THE JUDGE REGARDING THIS NOTICE. INSTEAD, PLEASE DIRECT ANY INQUIRIES TO ANY OF THE LEAD COUNSEL FOR THE CLASS LISTED ABOVE, OR TO THE ADMINISTRATORS LISTED ABOVE.**